Model-based Estimation of the Number of U.S. Farms and Land in Farms from Survey and Administrative Data

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FCSM 2022 – Survey Research Methods Section October 27, 2022





"... providing timely, accurate, and useful statistics in service to U.S. agriculture."

Disclaimer

The findings and conclusions in this presentation are those of the authors and should not be construed to represent any official USDA, or US Government determination or policy

 This research was supported in part by the intramural research program of the U.S. Department of Agriculture, National Agricultural Statistics Service (NASS)





PART I MOTIVATION





The June Area Survey (JAS)

- The June Area Survey is one of the largest surveys conducted by NASS
- Based on an area frame, conducted every year during the first two weeks of June
 - All land in all states except Alaska stratified by land use
 - Land divided into homogeneous strata (e.g., cultivated land, urban area, etc.)
 - Each of the strata is divided into several substratum containing agriculturally similar areas
 - Each substratum is then divided into primary sampling units (PSU)
 - > PSUs are sampled, divided into smaller areas called segments





The June Area Survey (JAS)

- One segment is randomly selected from each PSU
- Each segment is divided into several smaller areas called tracts
- Each tract is screened: agricultural or non-agricultural
- Agricultural tracts within selected segments are enumerated







Estimation from the JAS

- Annual estimates of the number of U.S. farms and land in farms are produced by using JAS data
- ► A farm is any place from which \$1,000 or more of agricultural products were produced and sold or normally would have been sold during the year.
- Studies have shown that the number of U.S. farms derived from the JAS are underestimated, mainly due to misclassification during screening
 - A capture-recapture approach is employed to make estimation
 - NASS's list frame used as the second sample
 - JAS and list frame records are linked by using probabilistic record linkage
 - Different adjustment weights are estimated by using the matched dataset





Estimation of the number of farms and land in farms

For a farm tract i, the capture-recapture weight is estimated by

$$w_i = \frac{t_i}{\pi_i} \frac{\Pr(F_i|S_iA_iR_iJ_i)}{\Pr(J_i|S_iA_iR_iF_i)\Pr(R_i|S_iA_iF_i)\Pr(A_i|S_iF_i)},$$

where,

- i = Tract on the JAS,
- t_i = Proportion of a farm represented by tract
- π_i = Sample inclusion probability
- S_i = Tract is in the sample
- A_i = Tract passed Agricultural screening process
- $R_i = \text{Tract responds}$
- J_i = Tract is recorded as a farm on the JAS
- F_i = Tract is truly a farm

The Number of farms estimates are obtained by summing the capture-recapture weights over farm tracts





Estimation of the number of farms and land in farms

- A total of five logistic regression models
- Matched JAS & list-frame records are used
- Both agricultural & non-agricultural tracts used in the analysis
- Model covariates
 - JAS data available for agricultural tracts
 - JAS data not collected for non-agricultural tracts, challenging to get model covariates

Goal: Using adminstrative & remote sensing data to create model covariates





PART II

PROPOSED METHODOLOGY





Farm Service Agency (FSA) data

- FSA Common Land Units (CLUs)
 - The smallest unit of land that has a permanent contiguous boundary, a common land cover and land management, a common owner, and a common producer
- FSA-578 Form
 - Available for all land associated with a USDA program in a calendar year
 - Provides crop information



https://www.agridatainc.com/Home/Products/Mapping %20Features/Land%20Resource%20Intelligence/FSA%20 Field%20Boundaries%20(CLU)





Cropland Data Layer (CDL)

- Georeferenced, crop-specific land cover raster dataset
- Captures planted acres
- National scale, 30m, 9 billion+ pixels
- Annually produced since 2008
- 85%-95% accuracy for major crops
- Freely available and open to the public







Predictive Cropland Data Layer (PCDL)



Illinois (2021) PCDL and Segments

Illinois (2021) Entropy Layer





PART III APPLICATION





Case study

- > Data available for 2021 have been considered in this study
- The JAS & digitized tracts data merged by using state, segment and tract identification numbers
- ► Tract-level PCDL data are used to create covariates for four models (Pr(J_i|S_iA_iR_iF_i), Pr(R_i|S_iA_iF_i), Pr(A_i|S_iF_i), and farm status)
 - Predicted CDL for corn, cotton and rice
- Estimates of the number of farms and land in farms produced based on the capture-recapture model
 - State & U.S. level estimates produced without accounting for Alaska & Hawaii





Results



Figure: Percent relative differences of unadjusted and adjusted number of farms and land in farms against published (Year 2021)

PART IV





Final remarks

- The model-based adjustment improved state and national estimates
- Tract-level administrative and remote sensing data enabled to obtain more accurate adjustment weights
- Digitized tracts data can be used to obtain information on non-agricultural JAS tracts
- Challenges:
 - Complete digitized tracts data available only for 2021
 - Digitized tracts data not available for some of the JAS tracts
- Future research opportunities:
 - Summarizing the entropy layer at the tract level to be used as a covariate
 - Testing generalized additive models or other non-parametric models to better account for nonlinear effects





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Thank you!

Questions?

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